

6 MAY 2002

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE
ACTING AS RECEIVING OFFICE FOR THE PCT

In re Application of: § Attorney Docket No.: Muller-40
Frank Michael Bohnen, Katja Siepen, §
Karen Reitz, and Paul K. Hurlburt §
§
Int'l. Appln. No.: PCT/DE00/02163 §
§
Int'l. Filing Date: 6 July 2000 §
§
Priority Date: 7 July 1999 §
§
U.S. Serial No.: 10/030,066 §
§
U.S. Filing Date: 01/03/02 §
§
For: *Method for Producing Metal Oxides* §
Dispersibles in Organic Solvents §
[as amended]

JK
C.7.
6/19/03

INFORMATION DISCLOSURE STATEMENT
UNDER 37 C.F.R. §1.97(b)

Box PCT
Assistant Commissioner for Patents
Washington, D.C. 20231

Attn.: DO/EO/US

Sir:

Applicants wish to make of record in the above-referenced application the references listed on the enclosed Form PTO-1449. Copies of the references are enclosed herewith.

Respectfully submitted,

C. James Bushman
Reg. No. 24,810

Date: 5/16/02
Browning Bushman P.C.
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CERTIFICATE OF EXPRESS MAILING

I, Cathy Hayes, hereby certify that this correspondence and all referenced enclosures are being deposited by me with the U.S. Postal Service as Express Mail with Receipt No. EL715549455US in an envelope addressed to: Box PCT, Assistant Commissioner for Patents, Washington, DC 20231, on May 16, 2002.

By: Cathy Hayes

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TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.
Mueller-40

In Re Application Of: **Frank Michael Bohnen, Katja Siepen, Karen Reitz, and Paul K. Hurlburt**

Serial No.
10/030,066

Filing Date
January 3, 2002

Examiner
To Be Assigned

Group Art Unit
To Be Assigned

Title: **Method for Producing Metal Oxides Dispersibles in Organic Solvents**

Address to:
Assistant Commissioner for Patents
Washington, D.C. 20231

37 CFR 1.97(b)

1. ☒ The information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

37 CFR 1.97(c)

2. ☐ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

☐ the statement specified in 37 CFR 1.97(e);

OR

☐ the fee set forth in 37 CFR 1.17(p).

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Group Art Unit
To Be Assigned

Method for Producing Metal Oxides Dispersibles in Organic Solvents

Payment of Fee

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of _____ is attached.
- ☒ The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No.02-4345 as described below. A duplicate copy of this sheet is enclosed.
- ☐ Charge the amount of _____
- ☒ Credit any overpayment.
- ☒ Charge any additional fee required.

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I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (Fax No. _____) on _____ (Date)
_____ Signature
_____ Typed or Printed Name of Person Signing Certificate

Certificate of Mailing by Express Mail

I hereby certify that this correspondence and all referenced enclosures are being deposited by me with United States Postal Service as Express Mail with Receipt No. <u>EL 715549455US</u> in an envelope addressed to Box PCT, Assistant Commissioner for Patents, Washington, DC 20231, on <u>May 16, 2002</u> <u>Cathy Hayes</u> Signature of Person Mailing Correspondence <u>Cathy Hayes</u> Typed/Printed Name of Person Mailing Correspondence
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*This certificate may only be used if paying by deposit account.

C. James Bushman
Signature

Dated: 5-16-02

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cc:

INFORMATION DISCLOSURE CITATION <i>(Use several sheets if necessary)</i>				ATTY DOCKET NO. Mueller-40		SERIAL NO. 10/030,066	
				APPLICANT(S) Frank Michael Bohner, et al.			
				FILING DATE January 3, 2002		GROUP To Be Assigned	

U.S. PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
		3,018,172	01/1962	Tillman			
		3,867,296	02/1975	Hunt			
		4,076,638	02/1978	Redmore et al.			
		4,123,231	10/1978	Guthrie et al.			
		6,030,599	02/2000	Noweck et al.			

FOREIGN PATENT DOCUMENTS								
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
		542803	12/1932	Germany			✓	
		1168588	04/1964	Germany			✓	
		4116522	11/1991	Germany			✓	
		277048	09/1927	Great Britain				
		767958	02/1957	Great Britain				

OTHER DOCUMENTS <i>(Including Author, Title, Date, Page, etc.)</i>			
			R. Nass and H. Schmidt, "Formation and Properties of Chelated Aluminum Oxide," H. Hauser, et al., <i>Ceramic Powder Processing</i> , Deutsche Keramische Gesellschaft, Koeln, pp. 69-76, Feb. 1999.

EXAMINER	DATE CONSIDERED
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 608; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

INFORMATION DISCLOSURE CITATION

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APPLICANT(S)

Frank Michael Bohner, et al.

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U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
	872149	07/1961	Great Britain				
	917947	02/1963	Great Britain				
	1007172	10/1965	Great Britain				
	60123560	07/1985	Japan			✓	
	200149	11/1955	Australia				

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

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